Cior				Page 1 c	of 3	
I ~Y	TION DISCLOSURE	Docket Number: 1032-005US01 Applicant:		Application Number: 10/656,751		
STATEMENT IN AN APPLICATION (Usc several sheets if necessary)		Matthew A. Stillerman; Dexter Kozen; Thomas J. Merritt Filing Date: September 4, 2003 Examiner Name: Group Art Unit: 2185		rritt		
		unknown	T DOCUMENTS			
Examiner Ipitial	Document Number	Issue/Document Publication Date	Nam	e	Filing D	
AM	6,185,678 B1	02/06/2001	Arbaugh et al.			
		FOREIGN PAT	ENT DOCUMENTS	·		
Examiner	Document Number	Publication	Country		Transl	ation
Initial		Date			Yes	No
	Symposium Principles of Programming Languages, 149-160. ACM SIGPLAN/SIG January 1998. Drew Dean et al., "JAVA Security: From HotJava to Netscape and Beyond," Proc Symposium Security and Privacy, IEEE, May 1996. William A. Arbaugh et al., "A Secure and Reliable Bootstrap Architecture," Proceed 1997 IEEE Symposium on Security and Privacy, pages 65-71, May 1997. "IEEE Standard for Boot (Initialization Configuration) Firmware: Core Requireme Practices," IEEE Standard, 266 pgs, 1994.					
	N. Glew et al., "Type-Safe Linking and Modular Assembly Language," Proceedings 26 th Symposium Principles of Programming Languages, pages 250-261. ACM SIGPLAN-SIGACT, January 1999.					
	Robert Harper et al., "A Framework for Defining Logics," Journal of the Association for Computing Machinery, 40(1):143-184, January 1993.					
	Dexter Kozen. "Efficient Code Certification," Technical Report 98-1661, Computer Science Department, Cornell University, pgs. 1-17, January 1998.					
"	G. Morrisett et al., "TALx86: A Realistic Typed Assembly Language," Proceedings Workshop on Compiler Support for System Software, pages 25-28. ACM SIGPLAN, May 1999.					

Avi		G. Morrisett et al., "Stack-Based Typed Assembly Language," In Xavier Leroy and Atsushi Ohori, editors, Proceedings Workshop on Types in Compilation, volume 1473 of Lecture Notes in Computer Science, Springer-Verlag, 23 pgs., March 1998.		
	•	G. Morrisett et al., "The TIL/ML Compiler: Performance and Safety Through Types," 1996 Workshop on Compiler Support for Systems Software, 7 pgs., 1996.		
	•	G Morrisett et al., "From System F to Typed Assembly Language," 25th ACM SIGPLAN/SIGSIGACT Symposium on Principles of Programming Languages, pages 85-97, San Diego California, USA, January 1998.		
	*	George C. Necula, "Proof-Carrying Code," Proceedings 24th Symposium Principles of Programming Languages, ACM SIGPLAN/SIGACT, pgs. 106-119, January 1997.		
	•	George C. Necula, Compiling With Proofs," PhD Thesis, Carnegie Mellon University, 260 pgs., September 1998.		
	•	George C. Necula et al., "Safe Kernel Extensions Without Run-Time Checking," Proceedings 2 nd Symposium Operating System Design and Implementation, ACM, October 1996.		
		George C. Necula et al., "The Design and Implementation of a Certifying Compiler," Proceedings Conference Programming Language Design and Implementation, pgs. 333-344, ACM SIGPLAN, ACM SIGPLAN notices, 0362-1340; v. 33, no. 5, 1998.		
	•	George C. Necula et al., "Safe, Untrusted Agents Using Proof-Carrying Code," Giovanni Vigna, editor, Special Issue on Mobile Agent Security, vol.1419 of Lecture Notes in Computer Science, pages 61-91, Springer-Verlag, June 1998.		
	•	Robert O'Callahan, "A Simple, Comprehensive Type System for Java Bytecode Subroutines," Proceedings 26 th Symposium Principles of Programming Languages, pages 70-78. ACM SIGPLAN/SIGACT, January 1999.		
	.	Fred B. Schneider, editor, "Trust In Cyberspace," Committee on Information systems Trustworthiness, Computer Science and Telecommunications Board, National Research Council, National Academy Press, 254 pgs., 1998, www.antioffline.com/trust.in.cspace.html , printed October 2004.		
		D. Tarditi et al., "TIL: A Type-Directed Optimizing Compiler for ML," Conference Programming Language Design and Implementation, ACM SIGPLAN, pgs. 181-192, 1996.		
		R. Wahbe et al., "Efficient Software-Based Fault Isolation," Proceedings 14th Symposium Operating System Principles, volume 27, No. 5, pages 203-216, ACM, December 1993.		
,	1 -	D. Kozen, "Language-Based Security," Cornell University, Ithaca, NY.		

AN	F. Adelstein et al., "Malicious Code Detection for Open Firmware," 18th Annual Computer Security Application Conference, San Diego, CA, December 2002.			
EXAMINE	ER /	Date Considered		
*Examiner: Ini conformance an Based on Form (Also form PTO	d not considered. Include copy of this form PTO-FB-A820	citation is in conformance with MPEP 609; Draw line through citation if not in n with next communication to applicant. Patent and Trademark Office, U.S. Department of Commerce		